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PTO/SB/21 (02-04)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/826,418

Filing Date

04-17-2004

First Named Inventor

Lawrence Julius Sonne

Art Unit

Examiner Name

Attorney Docket Number

ENCLOSURES (Check all that apply)

- | | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Technology Center (TC) |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
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| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
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| <input type="checkbox"/> Certified Copy of Priority Document(s) | Remarks | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | 15 Patent Copies; 5 pages non patent literature documents, A - E | |
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Lawrence Julius Sonne

Signature

Date

07/24/2004

CERTIFICATE OF TRANSMISSION/MAILING

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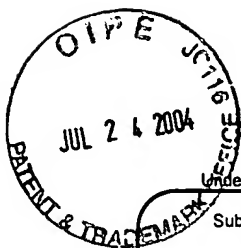
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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/826,418
Filing Date	04/17/2004
First Named Inventor	Lawrence J Sonne
Art Unit	
Examiner Name	
Attorney Docket Number	

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,655,225-B1	08-12-1997	Mathers, D. Stephen	5,3,23-45;6,6,8-19
		US- 5,355,535-B1	10-18-1994	Bruder, Thomas A	5,7,19-25;5,8,12-15
		US- 5,603,120-B1	02-18-1997	Gifford, Mark	2,F1;4,F12;5,2,44-51
		US- 5,884,334-B1	03-23-1999	Collette, KENNETH F	4, F3
		US- 5,081,717-B1	01-21-1992	Shedd, Aaron N	2, F1
		US- 5,669,074-B1	09-23-1997	Newman, Jr, Harry L	3, F2
		US- 5,048,128-B1	09-17-1991	Watson, Jr, John C	2, F1
		US- 5,701,609-B1	12-30-1997	Bridges, Karin H	3, F3
		US- 5,448,778-B1	09-12-1995	Phillips, Bradway F	3, F3 & F4
		US- 5,153,943-B1	10-13-1992	Clement, Keith H	2,F1; 3, F4&F5
		US- 5,161,259-B1	10-10-1992	Shorts, Timothy W	2, F1&F4
		US- 5,323,491-B1	01-28-1994	Barrett, Jr, Charles	2, F1
		US- 5,083,317-B1	01-28-1992	DeMoreta, Daniel F	2, F4
		US- 5,493,734-B1	02-27-1996	Nieves-Rivera, Orlan	4, F3
		US- 5,572,745-B1	11-12-1996	Mainus, Edward	6, F13
		US-			
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/826,418
		Filing Date	04/17/2004
		First Named Inventor	Lawrence J Sonne
		Art Unit	
Examiner Name			
Attorney Docket Number			
Sheet	1	of	1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	A	TRAVELSMITH, catalogue, SunBlock cap, item #3944 detachable sun cape attaches to hat with hook and loop	
	B	DR. LEONARDS, catalogue, Sun-Off Hat, item #54346 protects back of neck and face from sun with attache neck flap	
	C	www.FIELDANDSTREAM.com, GLACER GLOVE, on-line store, Universal Shade, #49ATN	
	D	www.SIERRATRADINGPOST.com , Specialized Sports Cap, item #56422, shield attached to hat	
	E	DIRECT SAFETY, catalogue May-August, 2003, page 41, Evaporative Cooling Products, item #z01-423, neck shade for hard-hat	

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